


 2011

# Clinical Audit and Improvement

Tuesday 8 and Wednesday 9 February 2011 Savoy Place, London

## Topics Include:

- Clinical Audit: the challenges ahead
- National Clinical Audit, patient outcomes and quality improvement
- Clinical Audit for quality improvement
- Prioritising Clinical Audit at board level
- Clinical Audit for QIPP
- Improving the quality of junior doctor led Audit
- Closing the Audit loop
- Information governance and confidentiality
- Obligations and implications of the Freedom of Information Act
- Cross sector Clinical Audit
- Information sharing and governance: managing information sharing for improvement between health and social care
- Interface Audits
- Health and social care
- Developing Clinical Audit across a clinical network
- National Audit setting national service standards
- Auditing practice for improvement against national standards
- Auditing practice for improvement against national good practice evidence
- Observational Audit for patient safety improvement
- The new medical examiner system and its role in mortality Audit
- Clinical Audit in primary care
- Learning from significant events audit
- Clinical Audit and cost effectiveness
- Care pathways as a Clinical Audit tool
- Commissioning and Clinical Audit

“...clinical audit is now recognised as an effective mechanism for improving the quality of care patients receive as a whole. It offers a crucial component of the drive to improve quality.”

HQIP AND THE GOOD GOVERNANCE INSTITUTE,  
 CLINICAL AUDIT: A SIMPLE GUIDE FOR NHS  
 BOARDS AND PARTNERS, JANUARY 2010

## Workshops:

- Essential Clinical Audits
- Patient Involvement in Clinical Audit
- Improving data quality and reliability
- Delivering Clinical Audit training, education and leadership skills

## Chair and keynote speakers:

- **Robin Burgess**  
*Chief Executive Officer*  
 The Healthcare Quality Improvement Partnership
- **Professor Nick Black**  
*Chairman*  
 The National Clinical Audit Advisory Group and *Professor of Health Services Research* London School of Hygiene and Tropical Medicine
- **Andrew Corbett-Nolan**  
*Author Clinical Audit: A simple guide for boards and partners and Director Good Governance Institute and Fellow*  
 Open University Business School



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“We believe that clinician-led quality improvement, where clinicians review their practice and identify solutions that improve care at the same time as cutting unproductive, wasteful, and clinically ineffective processes, offers real solutions to QIPP challenges. Clinical audit is a useful tool to drive better, but also financially prudent services.”

ROBIN BURGESS, CHIEF EXECUTIVE OFFICER,  
THE HEALTHCARE QUALITY IMPROVEMENT  
PARTNERSHIP, JUNE 2010

“National clinical audits can assess compliance with published existing national standards of care and some can have a role in defining, adding and refining existing standards as a result of the data that emerges which can be incorporated into subsequent clinical audits.”

HQIP AND THE GOOD GOVERNANCE INSTITUTE,  
CLINICAL AUDIT: A SIMPLE GUIDE FOR NHS  
BOARDS AND PARTNERS, JANUARY 2010

Through a series of focus sessions, debates, panel discussions, workshops and poster presentations, this eleventh annual two day conference brings together an exceptional gathering of leading practitioners, clinicians, policy makers and academics to set out the major developments, promote innovative areas of work, and debate the key challenges affecting you and your organisation.

Chaired by **Robin Burgess** *Chief Executive Officer* The Healthcare Quality Improvement Partnership, day one opens with a keynote address from **Professor Nick Black** *Chairman* The National Clinical Audit Advisory Group and *Professor of Health Services Research* London School of Hygiene and Tropical Medicine who will give an update from the National Audit Advisory Group and discuss Clinical Audit and the challenges ahead, delivering Audit that makes a real difference in practice and priorities and challenges moving forward.

Following the joint introductory session the conference programme splits into a series of streams, workshops and focus sessions, providing delegates with the opportunity to hear from and question a variety of healthcare professionals on topics including; Clinical Audit and quality improvement; Clinical Audit of QIPP; cross sector Clinical Audit; patient involvement in Clinical Audit and information governance and confidentiality.

Day two provides delegates with the opportunity to hear from a variety of healthcare professionals in a re-audit debate on is re-audit a waste of NHS resources. The panel will debate the value of re-audit in the current economic climate focusing on whether a repeat Audit of the same group is worthwhile, targeted re-audit, the role of re-audit in improvement or monitoring.

The conference continues with a series of focus sessions, case studies and workshops with topics including; national Clinical Audit; auditing for improvement against national good practice evidence and Clinical Audit and cost effectiveness.

Day two concludes with a joint focus session and will discuss Clinical Audit as an early warning system and mortality Clinical Audit, ensuring systems are in place to ensure patient and carer concerns are heard, the role of Clinical Audit in developing a culture of open and honest cooperation, understanding the root cause of avoidable deaths, and establishing mortality Clinical Audit as a patient safety indicator in your organisation.

# Poster Presentations

These will take the form of displays within the exhibition and poster area, which will be available for viewing throughout the conference breaks.

## A Clinical Audit of retinopathy of prematurity protocols

**Arundhati Dev Borman** *Speciality Trainee in Ophthalmology* Hinchingsbrooke Healthcare NHS Trust

## Raising staff awareness of intrathecal procedure in a low

**Rie Hitchmough** *Chief Technician* Clinical Services Clatterbridge Centre for Oncology NHS Foundation Trust

## Audit of the Bristol paediatric early warning tool observation charts

**Dr Natalie Quinn** *F2* Newcastle Upon Tyne NHS Foundation Trust

## Religious, spirituality and pastoral care Audit

**Amy Ong and Prya Sharma** *Clinical Audit and Effectiveness Assistants* Birmingham Children's Hospital NHS Foundation Trust

## Prevention of falls in the elderly

**Michael Pollard** *Clinical Auditor* St George's Healthcare NHS Trust

## Improving the management of heart failure

**Michael Pollard** *Clinical Auditor* St George's Healthcare NHS Trust

## Cardiac rehabilitation re-audit

**Michael Pollard** *Clinical Auditor* St George's Healthcare NHS Trust

## Audit of care of the neonate at risk of infection

**Angela Oxley** *Head of Midwifery and Lead for Obstetrics & Gynaecology* University Hospitals of Morecambe Bay NHS Trust

## Audit for improvement: University Hospitals of Morecambe Bay's Clinical Audit programme for Stroke and TIA

**Dr Pradeep Kumar** *Consultant Physician* University Hospitals of Morecambe Bay NHS Trust

## Re-audit on management of TIA at Royal Lancaster Infirmary

**Dr Pradeep Kumar** *Consultant Physician* University Hospitals of Morecambe Bay NHS Trust

## Re-audit of influenza immunisation with Rampton Hospital

**Julie Smith** *Clinical Audit Lead* Forensic Services Nottinghamshire Healthcare NHS Trust

## How do we manage severe sepsis?

**Stefa Buras-Rees** *Patient Safety Manager* East Kent Hospitals University NHS Trust

## Evaluation of acute coronary syndrome workshop for nurses working in acute care services

**Kate Gee** *CHD Nurse Consultant* University Hospitals of Birmingham Foundation Trust



**Venue** Savoy Place, London WC2R 0BL. A map of the venue will be sent with your confirmation of booking.

**Dates** Tuesday 8 and Wednesday 9 February 2011.

**Terms and conditions** A refund, less 20% administration fee, will be made if cancellations are received, in writing, at least 4 weeks before the conference. We regret that any cancellation after this time cannot be refunded, and that refunds for failure to attend the conference cannot be made, but substitute delegates are welcome any time.

**Accommodation** Special rates for hotels close to the conference venue have been arranged. Details will be sent with your confirmation of booking.

**Confirmation of booking** All bookings will be confirmed by email, unless stated otherwise on the booking form.

**Accreditation** This conference has been CPD accredited by the Royal College of Physicians, London, approved by the Association of Surgeons of Great Britain and Ireland and accredited by the Royal College of Nursing Accreditation Unit. The conference is also recognised by the Good Governance Institute and supported by HQIP.

**Exhibition** If you are interested in exhibiting at this event, please contact Carolyn Goodbody on 020 8481 0354 or email [carolyn@healthcare-events.co.uk](mailto:carolyn@healthcare-events.co.uk)

Tuesday 8 February 2010

HQIP, led by a consortium of the Academy of Medical Royal Colleges, the Royal College of Nursing and National Voices now have responsibility for the National Clinical Audit and Patient Outcomes Programme



## Clinical Audit and Improvement

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### 10.00 Chairman's Introduction

### 10.10 Keynote Opening Address Clinical Audit: the challenges ahead

#### Professor Nick Black

*Chairman* The National Clinical Audit Advisory Group and *Professor of Health Services Research* London School of Hygiene and Tropical Medicine

- an update from the National Audit Advisory Group
- delivering Audit that makes a real difference in practice
- priorities and challenges moving forward

### 10.40 Questions and answers

## CONFERENCE

### STREAM A: ADVANCING PATIENT CARE AND OUTCOMES THROUGH CLINICAL AUDIT

#### 11.50 Clinical Audit for quality improvement: critical success factors back to basics: what a good Clinical Audit should include

##### Kate Godfrey

*National Lead for Local Quality Improvement*  
The Healthcare Quality Improvement Partnership

- back to basics: what a good Clinical Audit should include
- evaluating the impact: examples of excellence in Clinical Audit

#### 12.20 Prioritising Clinical Audit at board level

##### Andrew Corbett-Nolan

*Author* Clinical Audit: A simple guide for boards and partners and *Director* Good Governance Institute and *Fellow* Open University Business School with **Board Level Case Study Speaker**

- engaging the board in Clinical Audit: practical tools
- Clinical Audit: implementing the 10 simple rules for boards
- Clinical Audit for board assurance
- our experience

#### 13.00 Questions and answers, followed by lunch and exhibition at 13.10

#### 14.00 Clinical Audit for QIPP

##### Helen Turner

*Clinical Audit Assistant*  
Humber NHS Foundation Trust

- Clinical Audit as a tool for improving quality and productivity
- costing Clinical Audit practice against improvements
- ensuring Clinical Audit represents best use of NHS resources

#### 14.30 Improving the quality of junior doctor led Audit: an Audit of Audits

##### Rohit Verma

*Psychiatry Specialist Registrar*  
South London and Maudsley NHS Foundation Trust

- enhancing productivity and quality through junior doctor Clinical Audit
- how to improve junior doctor's experiences of Audit and enhance the contribution of these Audits
- findings from the London and North West Deaneries

#### 15.00 Closing the Audit loop

*case study: readmissions Audit*

##### Dr Mike Browne

*Medical Director*  
Walsall Hospitals NHS Trust  
**Health Secretary Andrew Lansley has recently announced financial penalties for readmissions**

- closing the Audit loop: making a difference
- using Clinical Audit to understanding changes and trends in re-admission rates
- investigating the causes of changes to re-admission rates
- closing the Audit loop: tips for success

#### 15.30 Questions and answers, followed by tea and exhibition at 15.40

### STREAM B: CROSS SECTOR CLINICAL AUDIT

**Chairman: Finlay Robertson** *Consultant in Leadership*  
of Lancashire Care NHS Foundation Trust

#### 11.50 Cross sector Clinical Audit: how to do it

##### Charles Waddicor

*Chief Executive*  
Berkshire West PCT

#### 12.20 Cross sector Clinical Audit: information Managing information sharing for impro

##### Penny Hill

*Social Care Lead*  
The National Information Centre for Health and Social Care and *Member*  
The National Information Governance Board

#### 13.00 Questions and answers, followed by lunch and exhibition at 13.10

#### 14.00 Cross Sector Clinical Audit: Interface

##### Helen Turner

*Clinical Audit Assistant*  
Humber NHS Foundation Trust

#### 14.30 Cross Sector Clinical Audit: Health and

##### Caroline Evans

*Practice Modernisation Coordinator*  
Sheffield Primary Care Trust  
with **Helen Chapman**  
*Locality Service Manager* Sheffield City Council  
and **Debra Canning**  
*Clinical Audit and Effectiveness Facilitator*  
Sheffield Primary Care Trust

#### 15.00 Developing Clinical Audit across a clinic

##### Dr Vish Rasaih

*Chair Clinical Audit*  
Southern West Midlands Newborn Network and  
*Consultant Neonatologist* Birmingham Women's  
NHS Foundation Trust

#### 15.30 Questions and answers, followed by tea and exhibition at 15.40

### Joint closing Session

### FOCUS ISSUES: CLINICAL AUDIT, DATA PROTECTION AND INFORMATION SHARING AND

#### 16.00 Information Governance and confidentiality

##### Karen Thomson

*Information Governance Lead*  
National Information Governance Board  
for Health and Social Care

- the legal framework
- individual local, organisation and national Clinical Audit
- consent, implied consent, anonymised and pseudonymised data
- role of ECC and section 251

10.45 **National Clinical Audit, patient outcomes and quality improvement**

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**Clinical Audit and Improvement**

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**Robin Burgess**

*Chief Executive Officer*

The Healthcare Quality Improvement Partnership

- an update from the National Clinical Audit and Patient Outcomes Programme
- developing criteria to validate national Clinical Audits and feeding back
- is Clinical Audit value for money?
- moving forward: ensuring Clinical Audit leads to improvement

11.15 Questions and answers, followed by tea and coffee at 11.25

**SPLITS**

ship and Management Development and Former CE

- involving partner organisations in clinical audit: how to set up joint Clinical Audits
- cross sector Clinical Audits for improvement
- examples in practice

**sharing and governance  
between health and social care**

- effectively managing sharing of information between health and social care
- ensuring information governance procedures are integrated at the boundary
- changing the culture to ensure effective sharing and collaboration supports high quality services and care

tion at 13.10

**Audits**

- interface audits: where to start?
- a model for developing interface Audits
- case studies of interface Audits in Sheffield

**Social Care**

- developing joint Audit programmes across health and social care
- reducing duplication and sharing results
- The Sheffield your care record Audit: a review of Sheffield's health and Social care services patient held record

**al network**

- championing and developing Clinical Audit: using a clinical network approach
- collaborating for improvement
- benchmarking across the clinical network

at 15.40

**STREAM C: WORKSHOPS**

**WORKSHOP 1: Essential Clinical Audits**

11.50 **Facilitator: Martin Ferris**  
*Clinical Effectiveness and Audit Manager*  
NHS Sheffield and *Member*  
National Clinical Audit Advisory Group

**This interactive workshop will present short case studies of common clinical audits in practice which will then be discussed. The focus will be on sharing best practice in these common areas and would also be a good introduction to clinical audit for those relatively new to the role.**

**20 Minute presentations**

**Door to doctor and senior review clerking times for admitting patients**

**I Masih**

*CMT2* Western Sussex Hospitals NHS Trust

- using an initial audit to identify causes of delay
- changing practice and auditing the results

**Blood transfusion Audit**

**Dr Damien Carson**

*Consultant Anaesthetist*

Northern Ireland Regional Transfusion Committee

- ensuring the decision to give an infusion is appropriate
- agreeing the local standard and developing the Audit cycle

**Auditing for improvement against NICE Guidance**

*case study: implementing NICE Guidance for management of anxiety*

**Fareeha Saeed**

*SHO* Doncaster Royal Infirmary

- evaluating local practice against NICE guidance
- a walkthrough an Audit of the management of anxiety in adults in secondary care

13.00 Questions and answers, followed by lunch and exhibition at 13.10

**WORKSHOP 2: Patient involvement in Clinical Audit**

**14.00 The HQIP Patient Network**

**This interactive workshop will be led by HQIP and will include presentations from the HQIP Patient Network. The workshop will focus on case studies and templates of engaging patients**

**Workshop Facilitator: Kim Rezel**

*Development Officer* The Healthcare Quality Improvement Partnership HQIP

15.30 Questions and answers, followed by lunch and exhibition at 15.40

**GOVERNANCE**

◀ **Joint Closing Session**

16.30 **Obligations and implications of the Freedom of Information Act**

**ALL DELEGATES**

**Gerard Hanratty**

*Partner*

Capsticks Solicitors

- what are the implications under the Act: are you obliged to share all Audit information?
- what are the implications of a FOI request for Audit data?
- how culpable are you if an Audit has identified an issue which has not been resolved and there is a subsequent untoward incident

17.00 Questions and answers, followed by close

Wednesday 9 February 2010



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**Chairman: Dr Mick Peake** *Member The National Clinical Audit Advisory Group Department of Health and Consultant and Senior Lecturer in Respiratory Medicine University Hospitals of Leicester NHS Trust*

### 10.00 Chairman's Introduction

### 10.15 The future of Clinical Audit

**Mary Newman**  
*Head of Clinical Strategies*  
NHS Medical Directorate

- where Clinical Audit fits within the new national agenda

## CONFERENCE

### STREAM A: NATIONAL CLINICAL AUDIT

#### 11.50 National Audit setting national service standards

##### 20 minute presentations and updates from:

##### **Maralyn Woodford**

*Executive Director*  
Trauma and Audit Network

##### **Maureen McGeorge** *Development Manager*

Royal College of Psychiatrists' Centre  
for Quality Improvement  
with **Further Panel Members**

- ensuring national Clinical Audit focuses on outcomes which are important to patients
- identifying variations in care and closing the gap between current and best practice
- how national Clinical Audit can set national service standards: assessing national progress in the implementation
- learning from previous national Audit and moving towards the 2010/2011 Audits

12.50 Questions and answers, followed by lunch and exhibition at 13.00

#### FOCUS: AUDITING FOR IMPROVEMENT AGAINST NATIONAL STANDARDS, EVIDENCE AND GUIDANCE

#### 14.00 Clinical Audit as supporting information for Revalidation

##### **Ian Starke**

*Medical Director for Revalidation and Director of CPD* University Hospital Lewisham

- the need for clinical audit as a measure of quality of practice
- how clinical audit supports the Attributes of "Good Medical Practice", and
- tools to support high quality audit

#### 14.30 Auditing practice for improvement against national good practice evidence

##### *case study: improving the hip fracture patient pathway*

##### **Nichola Grieves**

*Trauma Coordinator*  
Western Health and Social Care Trust

- identifying the clinical evidence
- planning the ideal care pathway: a multi-professional approach
- ensuring sustained improvement through Clinical Audit

#### 15.00 Observational Audit for patient safety improvement: Using Clinical Audit to identify preventable errors

##### **Dr M Hamady**

*Consultant Interventional Radiologist*  
with **A Morbi**  
*Student* Imperial College Healthcare NHS Trust

- observational audit explained
- identifying communication errors through observational Clinical Audit
- classification of error and identifying preventable factors
- using a pre-procedure team rehearsal to improve patient safety

15.30 Questions and answers, followed by tea and exhibition at 15.40

### STREAM B: PRIMARY AND COMMUNITY CARE

**Facilitator: Martin Ferris** *Clinical Effectiveness and National Clinical Audit Advisory Group*

#### 11.50 Facilitators Introduction Clinical Audit in

#### 12.10 Using re-audit to demonstrate improvement: A district nursing Audit demonstrating

##### **Kate Sprakes** *Specialist Nurse*

and **Julie Tyrer**  
*Clinical Quality Facilitator*  
Liverpool Community Health

#### 12.35 Learning from significant event Audits

##### **Dr Vivian Tang**

*Clinical Advisor*  
National Patient Safety Agency

12.55 Interactive discussion and answers, followed by lunch

#### 14.00 Clinical Audit and cost effectiveness

##### *Case Study: a clinical and cost effectiveness prescribing*

##### **Kate Ashman**

*Community Dietician*  
and **Natalie Kominek** with **Jan Robinson**  
*Clinical Audit and Effectiveness Facilitator*  
Great Western Hospital NHS Foundation Trust  
and NHS Swindon

#### 14.30 Care pathways as a Clinical Audit tool:

##### **Dr Stephen Mason**

*Senior Research Fellow Marie Curie Palliative Care Institute Liverpool (MCPCIL)*  
with **Tamsin McGlinchey** *Research Assistant*  
National Care of the Dying Audit

#### 15.00 Commissioning and Clinical Audit

##### **Professor Robert Harris**

*Director of Commissioning and Performance*  
NHS East of England SHA

15.30 Questions and answers, followed by tea and exhibition

### Joint Closing Session ▶

### FOCUS: CLINICAL AUDIT AS AN EARLY WARNING SYSTEM: MORTALITY CLINICAL AUDIT

ALL DELEGATES

#### 16.00 The Patient Perspective

##### **Peter Walsh**

*Chief Executive*  
Action against Medical Accidents (AVMA)

- learning from Mid Staffordshire: ensuring systems are in place to ensure patient and carer concerns are heard
- the role of Clinical Audit in developing a culture of open and honest cooperation
- patient and public involvement for patient safety

*The panel will debate the value of re-audit in the current economic climate focusing on whether a repeat audit of the same group is worthwhile, targeted re-audit, the role of re-audit in improvement or monitoring*

Panel Members include:

**Kate Godfrey**

National Lead for Local Quality Improvement

The Healthcare Quality Improvement Partnership

**Stephen Ashmore**

Director The Clinical Audit Support Centre

Further panel members to be announced

11.15 Questions and answers, followed by tea and coffee at 11.25

Wednesday 9 February 2010



**Clinical Audit  
and Improvement**

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## SPLITS

### CLINICAL AUDIT

*Audit Manager NHS Sheffield and Member*

#### primary care: critical success factors

#### ment in outcomes outcomes improvement

- establishing whether the delivery of a wound/pressure ulcer management competency framework would improve outcomes
- working with the independent sector utilising a clinical record keeping Audit to improve practice and lead change
- using re-audit to demonstrate improvement in outcomes

- Clinical Audit and significant event Audit (SEAs): what's the difference?
- reviewing SEAs as a method of identifying and learning from patient safety incidents
- improving the quality of SEAs and exploring methods of sharing actionable learning beyond general practice boundaries: an update

and exhibition 13.05

#### ing Audit

- using Clinical Audit to identify inappropriate and costly prescribing
- case study: appropriate prescribing and improving the effectiveness of oral nutritional supplements
- improving adherence to evidence based practice

#### The Liverpool Care Pathway in end of life care

- the national care pathway as a Clinical Audit tool
- could the success of the Liverpool Care Pathway be replicated in other clinical areas?
- national and local implementation and results
- making the link to improvement

- Clinical Audit and commissioning
- using Clinical Audit to drive commissioning priorities and decisions
- our experience and the role of the quality observatories

at 15.40

### STREAM C: WORKSHOPS

#### WORKSHOP 3: IMPROVING DATA QUALITY AND RELIABILITY

##### 11.50 **Basic statistics for Clinical Audit** Interactive session facilitated by: Representative, HQIP

This workshop will be focus on skills, tools and techniques to improve data quality, accuracy and reliability

#### Improving data quality and reliability: essential statistics for Clinical Audit

##### Representative HQIP

##### 20 Minute presentation

- essential statistics for Clinical Audit
- sample size explained
- how statistics should and should not be used within Clinical Audit results

#### Improving data quality and reliability: IT support

##### 20 Minute presentation

##### Sean Brennan

Independent Consultant in

Healthcare Informatics

Clinical Matrix

##### Followed by interactive discussion

- NPfIT and Clinical Audit: where are we now?
- electronic document management and Audit
- clinical data capture for Clinical Audit

13.00 Questions and answers, followed by lunch and exhibition at 13.10

#### WORKSHOP 4: DELIVERING EFFECTIVE CLINICAL AUDIT TRAINING AND EDUCATION

##### 14.00 **This workshop will be facilitated by:**

##### Stephen Ashmore

Director

The Clinical Audit Support Centre

##### Tracy Ruthven

Director

The Clinical Audit Support Centre

15.30 Interactive discussion and answers, followed by tea and exhibition at 15.40

### ◀ **Joint Closing Session**

#### 16.30 **The new Medical Examiner system and its role in mortality Audit**

##### Dr Alan Fletcher

Consultant in Acute and Emergency

Medicine Sheffield Teaching Hospitals

NHS Foundation Trust and Medical

Examiner HM Coroner

South Yorkshire (West)

- can mortality Audit identify deteriorating clinical performance?
- understanding the root cause of avoidable deaths, ensuring independent review and correct referral to the coroner
- the medical examiner role in carrying out mortality Audits and case note review to identify the potential for harm
- establishing cause of death and improving the system for death certification: update from the Tackling Concerns Locally Death Certification Subgroup
- establishing mortality Clinical Audit as a patient safety indicator in your organisation

17.00 Questions and answers, followed by close

**ALL DELEGATES**

